

GOGIA CAPITAL SERVICES LTD.

Regd. Off.: 100 A/1, The Capital Court,
Olof Palme Marg, Munirka,
New Delhi- 110067
Ph. No. +91-11-49418888,
Email id : accounts@gogiacap.com

Date:-03.06.2020

**To,
BSE Limited,
Department of Corporate Services
25th Floor, P.J. Towers
Dalal Street,
Mumbai – 400 001**

Scrip Code: 531600

Re: Annual Secretarial Compliance Report

In terms of Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019, we enclose herewith Annual Secretarial Compliance Report dated 28th May, 2020 issued by M/s Richa Dhamija and Company, Practising Company Secretary, for the Financial Year ended 31st March 2020.

Thanking you and assuring you of our best attention at all times.

Your Sincerely,

**For and on behalf of Board of Director of
Gogia Capital Services Limited**



**Satish Gogia
Managing Director
DIN: 00932987**



Enclosure:- A/a



Richa Dhamija and Company

Company Secretary

C-553, Sector-19, Noida-201301

Mobile No: 9911792770

E mail Id: hricha.dhamija@gmail.com

Secretarial Compliance report of Gogia Capital Services Limited for the year ended on 31st March, 2020

I Richa Dhamija, have examined

- (a) all the documents and records made available to us and explanation provided by Gogia Capital Services Limited
- (b) the filings/ submissions made by the listed entity to the stock exchanges
- (c) website of the listed entity
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification

for the year ended on 31st March, 2020 "Review Report" in respect of compliance with the provisions of

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; Not applicable during the period under review
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; Not applicable during the period under review
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; Not applicable during the period under review
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; Not applicable during the period under review
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; Not applicable during the period under review
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; Not applicable during the period under review

- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; Not applicable during the period under review

and based on the above examination, I/We hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, exception respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 6	Company Secretary Ms. Megha Behel has resigned with effect from 7 th January, 2020	The company has no Company Secretary from 8 th January, 2020 to 31 st March, 2020.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records

- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
			NIL	

- d) The listed entity has taken the following actions to comply with the observations made in previous reports

Sr. No.	Observations of the Practicing Company Secretary	Observations made in the secretarial compliance report for the year ended...(The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the

	in the previous reports			actions taken by the listed entity
		NOT APPLICABLE		

**For Richa Dhamija & Company
(Practising Company Secretaries)**

RICHA DHAMIJA

Digitally signed by RICHA DHAMIJA
DN: c=IN, o=Personal, postalCode=201301,
st=Uttar Pradesh,
2.5.4.20=3495cfaabe4d543faf6e12a1edbe8d38
458a2140ccee0465007774210fa8d3,
serialNumber=70d435c4751c8ed966d9f9dad
3a:5940e08723a22e074ad5a1a9ee147284bb3,
cn=RICHA DHAMIJA
Date: 2020.06.05 18:21:55 +05'30'

**Richa Dhamija
(Proprietor)**

FCS 9776

COP 12099

UDIN: F009776B000319901

Date: 05.06.2020

Place: Noida